

# Parameterized Algorithms for Directed Maximum Leaf Problems

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**Abstract.** We prove that finding a rooted subtree with at least  $k$  leaves in a digraph is a fixed parameter tractable problem. A similar result holds for finding rooted spanning trees with many leaves in digraphs from a wide family  $\mathcal{L}$  that includes all strong and acyclic digraphs. This settles completely an open question of Fellows and solves another one for digraphs in  $\mathcal{L}$ . Our algorithms are based on the following combinatorial result which can be viewed as a generalization of many results for a ‘spanning tree with many leaves’ in the undirected case, and which is interesting on its own: If a digraph  $D \in \mathcal{L}$  of order  $n$  with minimum in-degree at least 3 contains a rooted spanning tree, then  $D$  contains one with at least  $(n/2)^{1/5} - 1$  leaves.

## 1 Introduction

The MAXIMUM LEAF SPANNING TREE problem (finding a spanning tree with the maximum number of leaves in a connected undirected graph) is an intensively studied problem from an algorithmic as well as a combinatorial point of view [5, 7, 10, 13, 17, 22, 30]. It fits into the broader class of spanning tree problems on which hundreds of papers have been written; see e.g. the book by Wu and Chao [34]. It is known to be NP-hard [18], and APX-hard [16], but can be approximated efficiently with multiplicative factor 3 [26] and even 2 [30].

In this paper, we initiate the combinatorial and algorithmic study of two natural generalizations of the problem to digraphs. We say that a subgraph  $T$  of a digraph  $D$  is an *out-tree* if  $T$  is an oriented tree with only one vertex  $s$  of in-degree zero (called *the root*). The vertices of  $T$  of out-degree zero are called *leaves*.

If  $T$  is a spanning out-tree, i.e.  $V(T) = V(D)$ , then  $T$  is called an *out-branching* of  $D$ . Given a digraph  $D$ , the DIRECTED MAXIMUM LEAF OUT-BRANCHING problem is the problem of finding an out-branching in  $D$  with the maximum possible number of leaves. Denote this maximum by  $\ell_s(D)$ . When  $D$  has no out-branching, we write  $\ell_s(D) = 0$ . Similarly, the DIRECTED MAXIMUM LEAF OUT-TREE problem is the problem of finding an out-tree in  $D$  with the maximum possible number of leaves, which we denote by  $\ell(D)$ . Both these problems are equivalent for connected undirected graphs, as any maximum leaf tree can be extended to a maximum leaf spanning tree with the same number of leaves.

Notice that  $\ell(D) \geq \ell_s(D)$  for each digraph  $D$ . Let  $\mathcal{L}$  be the family of digraphs  $D$  for which either  $\ell_s(D) = 0$  or  $\ell_s(D) = \ell(D)$ . It is easy to see that  $\mathcal{L}$  contains all strong and acyclic digraphs.

We investigate the above two problems from the *parameterized complexity* point of view. Parameterized Complexity is a recent approach to deal with intractable computational problems having some parameters that can be relatively small with respect to the input size. This area has been developed extensively during the last decade. For decision problems with input size  $n$ , and a parameter  $k$ , the goal is to design an algorithm with runtime  $f(k)n^{O(1)}$  where  $f$  is a function of  $k$  alone. Problems having such an algorithm are said to be fixed parameter tractable (FPT). The book by Downey and Fellows [11] provides a good introduction to the topic of parameterized complexity. For recent developments see the books by Flum and Grohe [15] and by Niedermeier [28].

The parameterized version of the DIRECTED MAXIMUM LEAF OUT-BRANCHING (the DIRECTED MAXIMUM LEAF OUT-TREE) problem is defined as follows: Given a digraph  $D$  and a positive integral parameter  $k$ , is  $\ell_s(D) \geq k$  ( $\ell(D) \geq k$ )? We denote the parameterized versions of the DIRECTED MAXIMUM LEAF OUT-BRANCHING and the DIRECTED MAXIMUM LEAF OUT-TREE problems by  $k$ -DMLOB and  $k$ -DMLOT respectively.

While the parameterized complexity of almost all natural problems on undirected graphs is well understood, the world of digraphs is still wide open. The main reason for this anomaly is that most of the techniques developed for undirected graphs cannot be used or extended to digraphs. One of the most prominent examples is the FEEDBACK VERTEX SET problem, which is easily proved to be FPT for undirected graphs, while its parameterized complexity on digraphs is a long standing open problem in the area. In what follows we briefly explain why the standard techniques for the MAXIMUM LEAF SPANNING TREE problem on undirected graphs cannot be used for its generalizations to digraphs.

- The Graph Minors Theory of Robertson and Seymour [31] is a powerful (yet non-constructive) technique for establishing membership in FPT. For example, this machinery can be used to show that the MAXIMUM LEAF SPANNING TREE problem is FPT for undirected graphs (see [12]). However, Graph Minors Theory for digraphs is still in a preliminary stage and at the moment cannot be used as a tool for tackling interesting directed graph problems.

- Bodlaender [3] used the following arguments to prove that the MAXIMUM LEAF SPANNING TREE problem is FPT: If an undirected graph  $G$  contains a star  $K_{1,k}$  as a minor, then it is possible to construct a spanning tree with at least  $k$  leaves from this minor. Otherwise, there is no  $K_{1,k}$  minor in  $G$ , and it is possible to prove that the treewidth of  $G$  is at most  $f(k)$ . Thus, dynamic programming can be used to decide whether there is a tree with  $k$  leaves. This approach does not work on directed graphs because containing a big out-tree as a minor does not imply the existence of an out-branching or out-tree with many leaves in the original graph. In short, the properties of having no out-branching with at least  $k$  leaves or having no out-tree with  $k$  leaves are not minor closed.
- The seemingly most efficient approach for designing FPT algorithms for undirected graphs is based on a combination of combinatorial bounds and preprocessing rules for handling vertices of small degrees. Kleitman and West [22] and Linial and Sturtevant [25] showed that every connected undirected graph  $G$  on  $n$  vertices with minimum degree at least 3 has a spanning tree with at least  $n/4 + 2$  leaves. Bonsma et al. [5] combined this combinatorial result with clever preprocessing rules to obtain the fastest known algorithm for the  $k$ -MAXIMUM LEAF SPANNING TREE problem, running in time  $O(n^3 + 9.4815^k k^3)$ . It is not clear how to devise a similar approach for digraphs.

**Our Contribution.** We obtain a number of combinatorial and algorithmic results for the DIRECTED MAXIMUM LEAF OUT-BRANCHING and the DIRECTED MAXIMUM LEAF OUT-TREE problems. Our main combinatorial result (Theorem 1) is the proof that for every digraph  $D \in \mathcal{L}$  of order  $n$  with minimum in-degree at least 3,  $\ell_s(D) \geq (n/2)^{1/5} - 1$  provided  $\ell_s(D) > 0$ . This can be viewed as a generalization of many combinatorial results for undirected graphs related to the existence of spanning trees with many leaves [19, 22, 25].

Our main algorithmic contributions are fixed parameter tractable algorithms for the  $k$ -DMLOB and the  $k$ -DMLOT problems for digraphs in  $\mathcal{L}$  and for all digraphs, respectively. The algorithms are based on a decomposition theorem which uses ideas from the proof of the main combinatorial result. More precisely, we show that either a digraph contains a structure that can be extended to an out-branching with many leaves, or the pathwidth of the underlying undirected graph is small. This settles completely an open question of Mike Fellows [6, 14, 21] and solves another one for digraphs in  $\mathcal{L}$ .

## 2 Preliminaries

Let  $D$  be a digraph. By  $V(D)$  and  $A(D)$  we represent the vertex set and arc set of  $D$ , respectively. An *oriented graph* is a digraph with no directed 2-cycle. Given a subset  $V' \subseteq V(D)$  of a digraph  $D$ , let  $D[V']$  denote the subgraph induced on  $V'$ . The *underlying undirected graph*  $UN(D)$  of  $D$  is obtained from  $D$  by omitting all orientations of arcs and by deleting one edge from each resulting pair of parallel edges. The *connectivity components* of  $D$  are the subgraphs of  $D$  induced by the

vertices of connected components of  $UN(D)$ . A vertex  $y$  of  $D$  is an *in-neighbor* (*out-neighbor*) of a vertex  $x$  if  $yx \in A$  ( $xy \in A$ ). The *in-degree*  $d^-(x)$  (*out-degree*  $d^+(x)$ ) of a vertex  $x$  is the number of its in-neighbors (out-neighbors). A vertex  $s$  of a digraph  $D$  is a *source* if the in-degree of  $s$  is 0.

A digraph  $D$  is *strong* if there is a directed path from every vertex of  $D$  to every other vertex of  $D$ . A *strong component* of a digraph  $D$  is a maximal strong subgraph of  $D$ . A strong component  $S$  of a digraph  $D$  is a *source strong component* if no vertex of  $S$  has an in-neighbor in  $V(D) \setminus V(S)$ . The following simple result gives necessary and sufficient conditions for a digraph to have an out-branching.

**Proposition 1 ([2]).** *A digraph  $D$  has an out-branching if and only if  $D$  has a unique source strong component.*

This assertion allows us to check whether  $\ell_s(D) > 0$  in time  $O(|V(D)| + |A(D)|)$ . Thus, we will often assume, in the rest of the paper, that the digraph  $D$  under consideration has an out-branching.

Let  $P = u_1u_2 \dots u_q$  be a directed path in a digraph  $D$ . An arc  $u_iu_j$  of  $D$  is a *forward* (*backward*) *arc for  $P$*  if  $i \leq j - 2$  ( $j < i$ , respectively). Every backward arc of the type  $v_{i+1}v_i$  is called *double*.

For a natural number  $n$ ,  $[n]$  denotes the set  $\{1, 2, \dots, n\}$ .

The notions of treewidth and pathwidth were introduced by Robertson and Seymour in [32] and [33] (see [3] and [27] for surveys).

A *tree decomposition* of an (undirected) graph  $G$  is a pair  $(X, U)$  where  $U$  is a tree whose vertices we will call *nodes* and  $X = (\{X_i \mid i \in V(U)\})$  is a collection of subsets of  $V(G)$  such that

1.  $\bigcup_{i \in V(U)} X_i = V(G)$ ,
2. for each edge  $\{v, w\} \in E(G)$ , there is an  $i \in V(U)$  such that  $v, w \in X_i$ , and
3. for each  $v \in V(G)$  the set of nodes  $\{i \mid v \in X_i\}$  forms a subtree of  $U$ .

The *width* of a tree decomposition  $(\{X_i \mid i \in V(U)\}, U)$  equals  $\max_{i \in V(U)} \{|X_i| - 1\}$ . The *treewidth* of a graph  $G$  is the minimum width over all tree decompositions of  $G$ .

If in the definitions of a tree decomposition and treewidth we restrict  $U$  to be a tree with all vertices of degree at most 2 (i.e., a path) then we have the definitions of path decomposition and pathwidth. We use the notation  $tw(G)$  and  $pw(G)$  to denote the treewidth and the pathwidth of a graph  $G$ .

We also need an equivalent definition of pathwidth in terms of vertex separators with respect to a linear ordering of the vertices. Let  $G$  be a graph and let  $\sigma = (v_1, v_2, \dots, v_n)$  be an ordering of  $V(G)$ . For  $j \in [n]$  put  $V_j = \{v_i \mid i \in [j]\}$  and denote by  $\partial V_j$  all vertices of  $V_j$  that have neighbors in  $V \setminus V_j$ . Setting

$$vs(G, \sigma) = \max_{i \in [n]} |\partial V_i|,$$

we define the *vertex separation* of  $G$  as

$$vs(G) = \min\{vs(G, \sigma) : \sigma \text{ is an ordering of } V(G)\}.$$

The following assertion is well-known. It follows directly from the results of Kirousis and Papadimitriou [24] on interval width of a graph, see also [23].

**Proposition 2** ([23, 24]). *For any graph  $G$ ,  $vs(G) = pw(G)$ .*

### 3 Combinatorial Lower Bounds on $\ell(D)$ and $\ell_s(D)$

Let  $\mathcal{D}$  be a family of digraphs. Notice that if we can show that  $\ell_s(D) \geq g(n)$  for every digraph  $D \in \mathcal{D}$  of order  $n$ , where  $g(n)$  is tending to infinity as  $n$  tends to infinity, then  $k$ -DMLOB is FPT on  $\mathcal{D}$ . Indeed,  $g(n) < k$  holds only for digraphs with less than some  $G(k)$  vertices and we can generate all out-branchings in such a digraph in time bounded by a function of  $k$ .

Unfortunately, bounds of the type  $\ell_s(D) \geq g(n)$  are not valid for all strong digraphs. Nevertheless, such bounds hold for wide classes of digraphs as we show in the rest of this section.

The following assertion shows that  $\mathcal{L}$  includes a large number of digraphs including all strong and acyclic digraphs (and, also, well-studied classes of semi-complete multipartite digraphs and quasi-transitive digraphs, see [2] for the definitions).

**Proposition 3.** *Suppose that a digraph  $D$  satisfies the following property: for every pair  $R$  and  $Q$  of distinct strong components of  $D$ , if there is an arc from  $R$  to  $Q$  then each vertex of  $Q$  has an in-neighbor in  $R$ . Then  $D \in \mathcal{L}$ .*

*Proof.* Let  $T$  be a maximal out-tree of  $D$  with  $\ell(D)$  leaves. We may assume that  $\ell_s(D) > 0$  and  $V(T) \neq V(D)$ . Let  $H$  be the unique source strong component of  $D$  and let  $r$  be the root of  $T$ . Observe that  $r \in V(H)$  as otherwise we could extend  $T$  by adding to it an arc  $ur$ , where  $u$  is some vertex outside the strong component containing  $r$ . Let  $C$  be a strong component containing a vertex from  $T$ . Observe that  $V(C) \cap V(T) = V(C)$  as otherwise we could extend  $T$  by appending to it some arc  $uv$ , where  $u \in V(C) \cap V(T)$  and  $v \in V(C) \setminus V(T)$ . Similarly, one can see that  $T$  must contain vertices from all strong components of  $D$ . Thus,  $V(T) = V(D)$ , a contradiction.  $\square$

#### 3.1 Digraphs with Restricted In-Degree

**Lemma 1.** *Let  $D$  be an oriented graph of order  $n$  with every vertex of in-degree 2 and let  $D$  have an out-branching. If  $D$  has no out-tree with  $k$  leaves, then  $n \leq 2k^5$ .*

*Proof.* Assume that  $D$  has no out-tree with  $k$  leaves. Consider an out-branching  $T$  of  $D$  with  $p$  leaves (clearly  $p < k$ ). Start from the empty collection  $\mathcal{P}$  of vertex-disjoint directed paths. Choose a directed path  $R$  from the root of  $T$  to a leaf, add  $R$  to  $\mathcal{P}$  and delete  $V(R)$  from  $T$ . Repeat this for each of the out-trees comprising  $T - V(R)$ . By induction on the number of leaves, it is easy to see that this process provides a collection  $\mathcal{P}$  of  $p$  vertex-disjoint directed paths covering all vertices of  $D$ .

Let  $P \in \mathcal{P}$  have  $q \geq n/p$  vertices and let  $P' \in \mathcal{P} \setminus \{P\}$ . There are at most  $k-1$  vertices on  $P$  with in-neighbors on  $P'$  since otherwise we could choose a set  $X$  of at least  $k$  vertices on  $P$  for which there were in-neighbors on  $P'$ . The vertices of  $X$  would be leaves of an out-tree formed by the vertices  $V(P') \cup X$ . Thus, there are  $m \leq (k-1)(p-1) \leq (k-1)(k-2)$  vertices of  $P$  with in-neighbors outside  $P$  and at least  $q - (k-2)(k-1)$  vertices of  $P$  have both in-neighbors on  $P$ .

Let  $P = u_1 u_2 \dots u_q$ . Suppose that there are  $2(k-1)$  indices

$$i_1 < j_1 \leq i_2 < j_2 \leq \dots \leq i_{k-1} < j_{k-1}$$

such that each  $u_{i_s} u_{j_s}$  is a forward arc for  $P$ . Then the arcs

$$\begin{aligned} & \{u_{i_s} u_{j_s}, u_{j_s} u_{j_s+1}, \dots, u_{i_{s+1}-1} u_{i_{s+1}} : 1 \leq s \leq k-2\} \cup \\ & \{u_{i_{k-1}} u_{j_{k-1}}\} \cup \{u_{i_s} u_{i_s+1} : 1 \leq s \leq k-1\} \end{aligned}$$

form an out-tree with  $k$  leaves, a contradiction.

Let  $f$  be the number of forward arcs for  $P$ . Consider the graph  $G$  whose vertices are all the forward arcs and a pair  $u_i u_j, u_s u_r$  of forward arcs are adjacent in  $G$  if the intervals  $[i, j-1]$  and  $[s, r-1]$  of the real line intersect. Observe that  $G$  is an interval graph and, thus, a perfect graph. By the result of the previous paragraph, the independence number of  $G$  is less than  $k-1$ . Thus, the chromatic number of  $G$  and the order  $g$  of its largest clique  $Q$  is at least  $f/(k-2)$ . Let  $V(Q) = \{u_{i_s} u_{j_s} : 1 \leq s \leq g\}$  and let  $h = \min\{j_s - 1 : 1 \leq s \leq g\}$ . Observe that each interval  $[i_s, j_s - 1]$  contains  $h$ . Therefore, we can form an out-tree with vertices

$$\{u_1, u_2, \dots, u_h\} \cup \{u_{j_s} : 1 \leq s \leq g\}$$

in which  $\{u_{j_s} : 1 \leq s \leq g\}$  are leaves. Hence we have  $\frac{f}{k-2} \leq k-1$  and, thus,  $f \leq (k-2)(k-1)$ .

Let  $uv$  be an arc of  $A(D) \setminus A(P)$  such that  $v \in V(P)$ . There are three possibilities: (i)  $u \notin V(P)$ , (ii)  $u \in V(P)$  and  $uv$  is forward for  $P$ , (iii)  $u \in V(P)$  and  $uv$  is backward for  $P$ . By the inequalities above for  $m$  and  $f$ , we conclude that there are at most  $2(k-2)(k-1)$  vertices on  $P$  which are not terminal vertices (i.e., heads) of backward arcs. Consider a path  $R = v_0 v_1 \dots v_r$  formed by backward arcs. Observe that the arcs  $\{v_i v_{i+1} : 0 \leq i \leq r-1\} \cup \{v_j v_j^+ : 1 \leq j \leq r\}$  form an out-tree with  $r$  leaves, where  $v_j^+$  is the out-neighbor of  $v_j$  on  $P$ . Thus, there is no path of backward arcs of length more than  $k-1$ .

If the in-degree of  $u_1$  in  $D[V(P)]$  is 2, remove one of the backward arcs terminating at  $u_1$ . Observe that now the backward arcs for  $P$  form a vertex-disjoint collection of out-trees with roots at vertices that are not terminal vertices of backward arcs. Therefore, the number of the out-trees in the collection is at most  $2(k-2)(k-1)$ . Observe that each out-tree in the collection has at most  $k-1$  leaves and thus its arcs can be decomposed into at most  $k-1$  paths, each of length at most  $k$ . Hence, the original total number of backward arcs for  $P$  is at most  $2k(k-2)(k-1)^2 + 1$ . On the other hand, it is at least  $(q-1) - 2(k-2)(k-1)$ . Thus,  $(q-1) - 2(k-2)(k-1) \leq 2k(k-2)(k-1)^2 + 1$ . Combining this inequality with  $q \geq n/(k-1)$ , we conclude that  $n \leq 2k^5$ .  $\square$

**Theorem 1.** *Let  $D$  be a digraph in  $\mathcal{L}$  with  $\ell_s(D) > 0$ .*

- (a) *If  $D$  is an oriented graph with minimum in-degree at least 2, then  $\ell_s(D) \geq (n/2)^{1/5} - 1$ .*
- (b) *If  $D$  is a digraph with minimum in-degree at least 3, then  $\ell_s(D) \geq (n/2)^{1/5} - 1$ .*

*Proof.* (a) Let  $T$  be an out-branching of  $D$ . Delete some arcs from  $A(D) \setminus A(T)$ , if needed, such that the in-degree of each vertex of  $D$  becomes 2. Now the inequality  $\ell_s(D) \geq (n/2)^{1/5} - 1$  follows from Lemma 1 and the definition of  $\mathcal{L}$ .

(b) Let  $T$  be an out-branching of  $D$ . Let  $P$  be the path formed in the proof of Lemma 1. (Note that  $A(P) \subseteq A(T)$ .) Delete every double arc of  $P$ , in case there are any, and delete some more arcs from  $A(D) \setminus A(T)$ , if needed, to ensure that the in-degree of each vertex of  $D$  becomes 2. It is not difficult to see that the proof of Lemma 1 remains valid for the new digraph  $D$ . Now the inequality  $\ell_s(D) \geq (n/2)^{1/5} - 1$  follows from Lemma 1 and the definition of  $\mathcal{L}$ .  $\square$

It is not difficult to give examples showing that the restrictions on the minimum in-degrees in Theorem 1 are optimal. Indeed, any directed cycle  $C$  is a strong oriented graph with all in-degrees 1 for which  $\ell_s(C) = 1$  and any directed double cycle  $D$  is a strong digraph with in-degrees 2 for which  $\ell_s(D) = 2$  (a *directed double cycle* is a digraph obtained from an undirected cycle by replacing every edge  $xy$  with two arcs  $xy$  and  $yx$ ).

## 4 Parameterized Algorithms for $k$ -DMLOB and $k$ -DMLOT

In the previous section, we gave lower bounds on  $\ell(D)$  and  $\ell_s(D)$  for digraphs  $D \in \mathcal{L}$  with minimum in-degree at least 3. These bounds trivially imply the fixed parameter tractability of the  $k$ -DMLOB and the  $k$ -DMLOT problems for this class of digraphs. Here we extend these FPT results to digraphs in  $\mathcal{L}$  for  $k$ -DMLOB and to all digraphs for  $k$ -DMLOT. We prove a decomposition theorem which either outputs an out-tree with  $k$  leaves or provides a path decomposition of the underlying undirected graph of width  $O(k^2)$  in polynomial time.

**Theorem 2.** *Let  $D$  be a digraph in  $\mathcal{L}$  with  $\ell_s(D) > 0$ . Then either  $\ell_s(D) \geq k$  or the underlying undirected graph of  $D$  is of pathwidth at most  $2k^2$ .*

*Proof.* Let  $D$  be a digraph in  $\mathcal{L}$  with  $0 < \ell_s(D) < k$ . Let us choose an out-branching  $T$  of  $D$  with  $p$  leaves. As in the proof of Lemma 1, we obtain a collection  $\mathcal{P}$  of  $p$  ( $< k$ ) vertex-disjoint directed paths covering all vertices of  $D$ .

For a path  $P \in \mathcal{P}$ , let  $W(P)$  be the set of vertices not on  $P$  which are out-neighbors of vertices on  $P$ . If  $|W(P)| \geq k$ , then the vertices  $P$  and  $W(P)$  would form an out-tree with at least  $k$  leaves, which by the definition of  $\mathcal{L}$ , contradicts the assumption  $\ell_s(D) < k$ . Therefore,  $|W(P)| < k$ . We define

$$U_1 = \{v \in W(P) : P \in \mathcal{P}\}.$$

Note that

$$|U_1| \leq p(k-1) \leq (k-1)^2.$$

Let  $D_1$  be the graph obtained from  $D$  after applying the following trimming procedure around all vertices of  $U_1$ : for every path  $P \in \mathcal{P}$  and every vertex  $v \in U_1 \cap V(P)$  we delete all arcs emanating out of  $v$  and directed into  $v$  except those of the path  $P$  itself. Thus for every two paths  $P, Q \in \mathcal{P}$  there is no arc in  $D_1$  that goes from  $P$  to  $Q$ .

For  $P \in \mathcal{P}$  let  $D_1[P]$  be the subgraph of  $D_1$  induced by the vertices of  $P$ . Observe that  $P$  is a Hamiltonian directed path in  $D_1[P]$  and the connectivity components of  $D_1$  are the induced subgraphs of  $D_1$  on the paths  $P$  for  $P \in \mathcal{P}$ .

Let  $P \in \mathcal{P}$ , we will show that the  $pw(UN(D_1[P]))$  is bounded by  $k^2 - 2k + 2$ . We denote by  $S[P]$  the set of vertices which are heads of forward arcs in  $D_1[P]$ .

We claim that  $|S[P]| \leq (k-2)(k-1)$ . Indeed, for each vertex  $v \in S[P]$ , delete all forward arcs terminating at  $v$  but one. Observe that the procedure has not changed the number of vertices which are heads of forward arcs. Also the number of forward arcs in the new digraph is  $|S[P]|$ . As in the proof of Lemma 1, we can show that the number of forward arcs in the new digraph is at most  $(k-2)(k-1)$ .

Let  $D_2[P]$  be the graph obtained from  $D_1[P]$  after applying the trimming procedure as before around all vertices of  $S[P]$ , that is, for every vertex  $v \in S[P]$  we delete all arcs emanating out of  $v$  or directed into  $v$  except those of the path  $P$ .

Observe that  $D_2[P]$  consists of the directed path  $P = v_1v_2 \dots v_q$  passing through all its vertices, together with its backward arcs. For every  $j \in [q]$  let  $V_j = \{v_i : i \in [j]\}$ . If for some  $j$  the set  $V_j$  contained  $k$  vertices, say  $\{v'_1, v'_2, \dots, v'_k\}$ , having in-neighbors in the set  $\{v_{j+1}, v_{j+2}, \dots, v_q\}$ , then  $D$  would contain an out-tree with  $k$  leaves formed by the path  $v_{j+1}v_{j+2} \dots v_q$  together with a backward arc terminating at  $v'_i$  from a vertex on the path for each  $1 \leq i \leq k$ , a contradiction. Thus  $vs(UN(D_2[P])) \leq k$ . By Proposition 2, the pathwidth of  $UN(D_2[P])$  is at most  $k$ . Let  $(X_1, X_2, \dots, X_p)$  be a path decomposition of  $UN(D_2[P])$  of width at most  $k$ . Then  $(X_1 \cup S[P], X_2 \cup S[P], \dots, X_p \cup S[P])$  is a path decomposition of  $UN(D_1[P])$  of width at most  $k + |S[P]| \leq k^2 - 2k + 2$ .

The pathwidth of a graph is equal to the maximum pathwidth of its connected components. Hence, there exists a path decomposition  $(X_1, X_2, \dots, X_q)$  of  $UN(D_1)$  of width at most  $k^2 - 2k + 2$ . Then  $(X_1 \cup U_1, X_2 \cup U_1, \dots, X_q \cup U_1)$  is a path decomposition of  $UN(D)$ . Thus, the pathwidth of the underlying graph of  $D$  is at most  $k^2 - 2k + 2 + |U_1| \leq k^2 - 2k + 2 + (k-1)^2 \leq 2k^2$ . □

**Theorem 3.**  *$k$ -DMLOB is FPT for digraphs in  $\mathcal{L}$ .*

*Proof.* Let  $D$  be a digraph in  $\mathcal{L}$  with  $\ell_s(D) > 0$  and  $n$  vertices. The proof of Theorem 2 can be easily turned into a polynomial time algorithm to either build an out-branching of  $D$  with at least  $k$  leaves or to show that  $pw(UN(D)) \leq 2k^2$  and provide the corresponding path decomposition. A simple dynamic programming

over the decomposition gives us an algorithm of running time  $O(k^{O(k^2)} \cdot n^{O(1)})$ . Alternatively, the property of containing a directed out-branching with at least  $k$  leaves can be formulated as a monadic second order formula. Thus, by the fundamental theorem of Courcelle [8, 9], the  $k$ -DMLOB problem for all digraphs  $D$  with  $pw(UN(D)) \leq 2k^2$  can be solved in  $O(f(k) \cdot n)$  time, where  $f$  is a function depending only on  $k$ .  $\square$

Let  $D$  be a digraph and let  $R_v$  be the set of vertices reachable from a vertex  $v \in V(D)$  in  $D$ . Observe that  $D$  has an out-tree with  $k$  leaves if and only if there exists a  $v \in V(D)$  such that  $D[R_v]$  has an out-tree with  $k$  leaves. Notice that each  $D[R_v]$  has an out-branching rooted at  $v$ . Thus, we can prove the following theorem, using the arguments in the previous proofs.

**Theorem 4.** *For a digraph  $D$  and  $v \in V(D)$ , let  $R_v$  be the set of vertices reachable from a vertex  $v \in V(D)$  in  $D$ . Then either we have  $\ell(D[R_v]) \geq k$  or the underlying undirected graph of  $D[R_v]$  is of pathwidth at most  $2k^2$ . Moreover, one can find, in polynomial time, either an out-tree with at least  $k$  leaves in  $D[R_v]$ , or a path decomposition of it of width at most  $2k^2$ .*

To solve  $k$ -DMLOT, we apply Theorem 4 to all the vertices of  $D$  and then either apply dynamic programming over the decomposition or apply Courcelle's Theorem as in the proof of Theorem 3. This gives the following:

**Theorem 5.**  *$k$ -DMLOT is FPT for digraphs.*

We can, in fact, show that the  $k$ -DMLOB problem for digraphs in  $\mathcal{L}$  is linear time solvable for a fixed  $k$ . To do so, given a digraph  $D \in \mathcal{L}$  with  $\ell_s(D) > 0$  we first apply Bodlaender's linear time algorithm [4] to check whether the treewidth of  $UN(D)$  is at most  $2k^2$ . If  $tw(UN(D)) > 2k^2$  then by Theorem 2  $D$  has an out-branching with at least  $k$  leaves. Else  $tw(UN(D)) \leq 2k^2$  and we can use Courcelle's Theorem to check in linear time whether  $D$  has an out-branching with at least  $k$  leaves. This gives the following:

**Theorem 6.** *The  $k$ -DMLOB problem for digraphs in  $\mathcal{L}$  is linear time solvable for every fixed  $k$ .*

## 5 Concluding Remarks and Open Problems

We have shown that every digraph  $D \in \mathcal{L}$  with  $\ell_s(D) > 0$  of order  $n$  and with minimum in-degree at least 3 contains an out-branching with at least  $(n/2)^{1/5} - 1$  leaves. Combining the ideas in the proof of this combinatorial result with the fact that the problem of deciding whether a given digraph in  $\mathcal{L}$  has an out-branching with at least  $k$  leaves can be solved efficiently for digraphs of pathwidth at most  $2k^2$  we have shown that the  $k$ -DMLOB problem for digraphs in  $\mathcal{L}$  as well as the  $k$ -DMLOT problem for general digraphs are fixed parameter tractable. The parameterized complexity of the  $k$ -DMLOB problem for all digraphs remains open.

For some subfamilies of  $\mathcal{L}$ , one can obtain better bounds on  $\ell_s(D)$ . An example is the class of multipartite tournaments. A multipartite tournament is an orientation of a complete multipartite graph. It is proved in [20, 29] that every multipartite tournament  $D$  with at most one source has an out-branching  $T$  such that the distance from the root of  $T$  to any vertex is at most 4. This implies that  $\ell_s(D) \geq \frac{n-1}{4}$ . Also for a tournament  $D$  of order  $n$ , it is easy to prove that  $\ell_s(D) \geq n - \log_2 n$ . (This bound is essentially tight, i.e., we cannot replace the right hand side by  $n - \log_2 n + \Omega(\log_2 \log_2 n)$  as shown by random tournaments; see [1], pages 3-4, for more details.)

It seems that the bound  $\ell_s(D) \geq (n/2)^{1/5} - 1$  is far from tight. It would be interesting to obtain better bounds for digraphs  $D \in \mathcal{L}$  (with  $\ell_s(D) > 0$ ) of minimum in-degree at least 3.

**Acknowledgements.** We thank Bruno Courcelle, Martin Grohe, Eun Jung Kim and Stephan Kreutzer for useful discussions of the paper. Research of Noga Alon and Michael Krivelevich was supported in part by a USA-Israeli BSF grant and by a grant from the Israel Science Foundation. Research of Fedor Fomin was supported in part by the Norwegian Research Council. Research of Gregory Gutin was supported in part by an EPSRC grant.

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